

**DECLARATION FOR UTILITY OR
DESIGN
PATENT APPLICATION
(37 CFR 1.63)**

☒ Declaration Submitted with Initial Filing
OR
☐ Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required)

Attorney Docket Number

10602/35345

First Named Inventor

MALME, et al.

COMPLETE IF KNOWN

Application Number

TBA

Filing Date

Group Art Unit

TBA

Examiner Name

TBA

As a below named inventor, I hereby declare that:

My residence, mailing address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

System and Methods for Aggregation and Liquidation of Curtailment Energy Resources

(Title of the Invention)

the specification of which

☒ is attached hereto

OR

☐ was filed on (MM/DD/YYYY)

as United States Application Number or PCT International

Application Number

TBA

and was amended on (MM/DD/YYYY)

(if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

[Page 1 of 2]

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

NAME OF SOLE OR FIRST INVENTOR: ☐ A petition has been filed for this unsigned inventorGiven Name
(first and middle [if any])

Ross

Family Name
or Surname

Malme

Inventor's
Signature

Date

Residence: City

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Country

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Citizenship

US

Mailing Address

3644 Chelsea Crescent, NE

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State

GA

ZIP

30319

Country

US

NAME OF SECOND INVENTOR:

☐ A petition has been filed for this unsigned inventorGiven Name
(first and middle [if any])

Peter C.

Family Name
or Surname

Scarpelli

Inventor's
Signature*P. C. Scarpelli*

Date

9-16-2001

Residence: City

Chicago

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IL

Country

US

Citizenship

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Mailing Address

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State

IL

ZIP

60661

Country

US

☐ Additional inventors are being named on the ____ supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.

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Application Number	TBA
Filing Date	9/18/01
Group Art Unit	TBA
Examiner Name	TBA

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NAME OF SOLE OR FIRST INVENTOR: ☐ A petition has been filed for this unsigned inventorGiven Name Ross
(first and middle [if any])Family Name Malme
or SurnameInventor's
Signature

R. J. Malme

Date

9/14/01

Residence: City

Atlanta

State

GA

Country US

Citizenship

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Mailing Address

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State

GA

ZIP

30319

Country

US

NAME OF SECOND INVENTOR:

☐ A petition has been filed for this unsigned inventorGiven Name Peter C.
(first and middle [if any])Family Name Scarpelli
or SurnameInventor's
Signature

Date

Residence: City

Chicago

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PTO/SB/81 (02-01)

Approved for use through 10/31/2002. OMB 0651-0035

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Application Number	TBA
Filing Date	9/18/01
First Named Inventor	MALME, et al.
Title	System and Methods for Aggregation and Liquidation of Curtailment Energy Resources
Group Art Unit	TBA
Examiner Name	TBA
Attorney Docket Number	10602/35345

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I am the:

☒ Applicant/Inventor.

☐ Assignee of record of the entire interest. See 37 CFR 3.71.
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

SIGNATURE of Applicant or Assignee of Record

Name

Ross Malme

Signature

Date

9/14/01

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.

☒ *Total of 1/2 forms are submitted.

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SIGNATURE of Applicant or Assignee of Record

Name

Peter C. Scarpelli

Signature

Date

9-16-2001

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